

# List Decoding Reed-Muller Codes over $\mathbb{F}_2$

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# Algebraic Code

## Algebraic Coding Theory

### Linear Block Codes

- ▶ Partition message into blocks and encode as polynomials
- ▶ 1 codeword  $\leftrightarrow$  1 message
  - ▶ Reed-Solomon codes: Univariate polynomials
  - ▶ Reed-Muller codes: Multivariate polynomials
- ▶ List decoding

### Convolutional Codes

- ▶ Message treated as series and encoded into series
- ▶ 1 codeword is weighted sum input messages
  - ▶ Turbo codes
- ▶ Viterbi algorithm
- ▶ Historically used commonly as easier to implement

Both posses same error correcting power!

# Background

## Reed-Muller Codes

Given a field size  $q$ , a number  $m$  of variables, and a total degree bound  $r$ , the  $\text{RM}_q[m, r]$  code is the linear code over  $\mathbb{F}_q$  defined by the encoding map:

$$f(X_1, \dots, X_m) \rightarrow \langle f(\alpha) \rangle_{\alpha \in \mathbb{F}_q^m}$$

applies to the domain of all polynomials in  $\mathbb{F}_q[X_1, \dots, X_m]$  of total degree  $\deg(f) \leq r$ .

For the binary case, i.e.  $q = 2$

- ▶ Block length  $n = 2^m$
- ▶ Dimension  $k = \sum_{i=0}^r \binom{m}{i}$
- ▶ Distance  $d = 2^{m-r}$ ,  $\delta = d/n = 2^{-r}$

For  $r = 1$  boils down to Hadamard code.

# Decoding RM Codes

- ▶ Unique Decoding:
  - ▶ Majority Logic Circuit Decoder [Reed, 1954, Muller, 1954]
  - ▶ Works when error rate  $\eta < 2^{-r-1} - \epsilon$

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- ▶ List Decoding for the case  $r = 1$ 
  - ▶ Goldreich-Levin Method [Goldreich and Levin, 1989]
  - ▶ When error rate  $\eta < \frac{1}{2} - \epsilon$
  - ▶ Outputs a list of size  $\leq 2m/\epsilon^2$
  - ▶ In time  $\text{poly}(m, 1/\epsilon)$

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- ▶ List Decoding for the case  $r \geq 2$  – This talk!
  - ▶ Built by generalizing GL as in [Gopalan et al., 2008]
  - ▶ When error rate  $\eta < 2^{-r} - \epsilon$
  - ▶ Outputs a list of size  $O(\epsilon^{-8r})$
  - ▶ In time  $\text{poly}_r(m, 1/\epsilon)$

# Marketing of GKZ I

## Beats Johnson Bound!

- ▶ Recall Johnson Bound
  - ▶ When  $\eta < J(\delta) - \epsilon$ , then
  - ▶ code is list decodable with list size  $O(\epsilon^2)$
  - ▶ where  $J(\delta) = \frac{1}{2}(1 - \sqrt{1 - 2\delta})$
- ▶ For RM codes, we have  $\delta = 2^{-r}$

	Johnson Bound	GKZ List Decoding
List Size	$O(\epsilon^2)$	$O(\epsilon^2)$
Time	–	$\text{poly}_r(m, 1/\epsilon)$
Max Error	$J(2^{-r}) - \epsilon$	$2^{-r} - \epsilon$
Example ( $r = 2$ )	0.146	0.25

## Marketing of GKZ II

Can we do better?

- ▶ No! as exponentially many codewords at distance of  $2^{-r}$
- ▶ An example:
  - ▶ Let  $\mathbf{V}_1, \dots, \mathbf{V}_t \subset \mathbb{F}_2^m$  such that  $\forall i : \dim(\mathbf{V}_i) = m - r$ .
  - ▶ Each  $\mathbf{V}_i$  has a parity check matrix  $[H^{(i)}]_{r \times m}$
  - ▶ Consider the polynomials

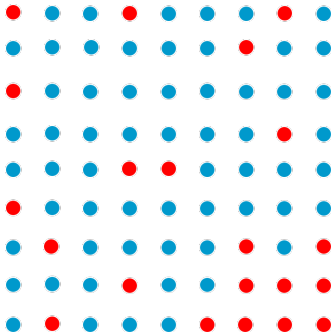
$$P_i(x) = \prod_{j=1}^r (1 + \langle H_j^{(i)}, x \rangle) = \begin{cases} 1 & \text{if } x \in \mathbf{V}_i \\ 0 & \text{else} \end{cases}$$

- ▶ All  $P_i$ 's are unique
- ▶ They are valid codewords in RM( $m, r$ ) code!
- ▶ If we receive  $R = 0$ , then all these are at distance  $2^{-r}$
- ▶ Note  $t =$  Number of subspace of dimension  $m - r > 2^{r(m-r)}$



# GL: Hadamard List Decoding

- ▶ Let the message be  $s \in \mathbb{F}_2^m$  and define  $P(x) = \langle s, x \rangle$
- ▶ Then  $\text{Had}(s) = \langle P(\alpha) \rangle_{\alpha \in \mathbb{F}_2^m}$
- ▶ We receive a noisy function  $R : \mathbb{F}_2^m \rightarrow \mathbb{F}_2$  such that  $\Delta(P, R) \leq \eta < \frac{1}{2} - \epsilon$
- ▶ Goal: Recover the message  $s$  (or equivalently  $P$ ) from  $R$



- ▶ Enumerated  $R$
- ▶ Error  $R(x) \neq P(x)$
- ▶ Correct  $R(x) = P(x)$

## GL: Hadamard List Decoding

Manzil, Sahil

Introduction

GL to GKZ

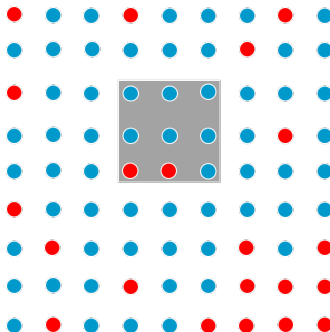
Problems

Solutions

Guesses

List Size

Conclusion



- ▶ Set  $k := O(\log(m/\epsilon))$
- ▶ Begin by selecting a random subspace  $A$  of  $\dim(A) = k$
- ▶ Assume  $\forall x \in A : R(x) = P(x)$
- ▶ Call them “hints”

## GL: Hadamard List Decoding

Manzil, Sahil

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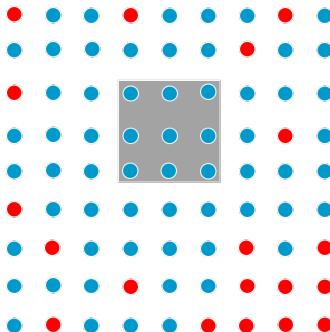
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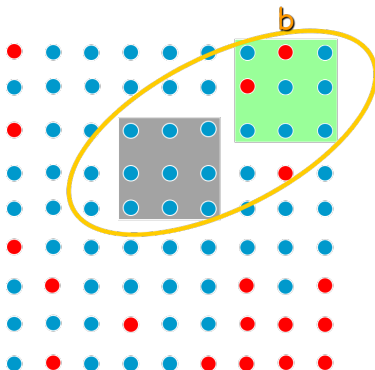
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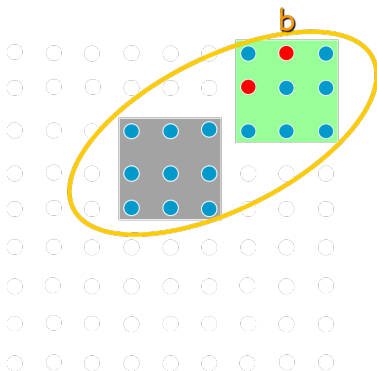
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# GL: Hadamard List Decoding



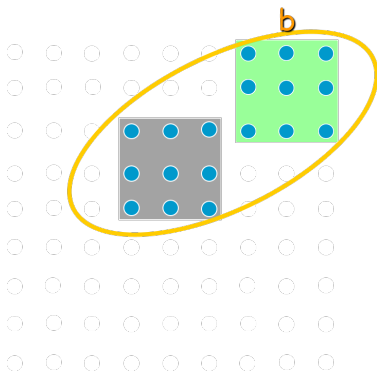
- ▶ Given the hints
- ▶ For any  $b \in \mathbb{F}_2^m$
- ▶ Consider the space  $b + A$
- ▶ Error in  $A = 0$  (assumed)
- ▶ Error in  $b + A < \eta + \epsilon$   
(with constant probability)

# GL: Hadamard List Decoding



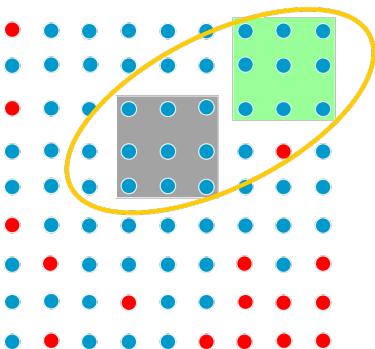
- ▶ Error in  $A = 0$
- ▶ Error in  $b + A < \eta + \epsilon$
- ▶ Error in combined subspace  $< \frac{\eta + \epsilon}{2} < \frac{1}{4}$
- ▶ Unique Decode!

# GL: Hadamard List Decoding



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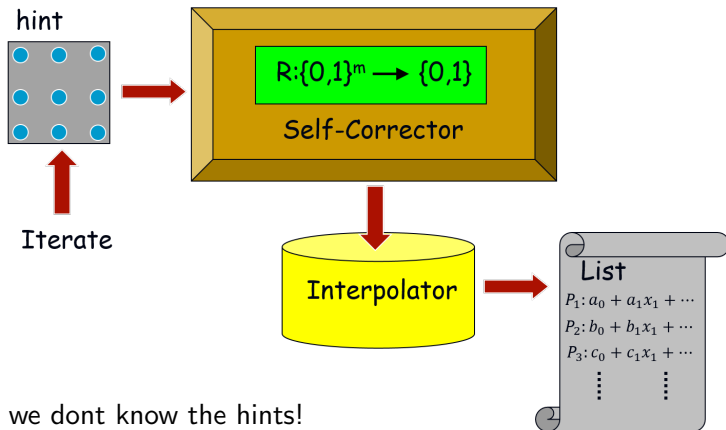
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# Interpolating Sets

- ▶ Q: For how many  $b$ 's do we need to run this?
- ▶ A: As many times as it needs to uniquely determine the polynomial  $P$ 
  - ▶ In case of Hadamard codes,  $P$  is linear in  $m$  variables
  - ▶ It suffices to run for  $b = e_1, \dots, e_m$
- ▶ In general, for a degree  $r$  polynomial in  $m$  variables
  - ▶ The set sufficient to efficiently determine the polynomial uniquely is called the interpolating set
  - ▶ Any Hamming ball of radius  $r$  is an interpolating set having  $O(m^r)$  points.



# Summary



- ▶ But we don't know the hints!
- ▶ Iterate over all possible hints
- ▶  $\# \text{ hints} = 2^k = \text{poly}(m, 1/\epsilon)$
- ▶  $\therefore$  still polynomial in list size and time

# Problems porting to RM

- ▶ Most of the steps for GL can be directly ported for general  $\text{RM}[r, m]$  codes
- ▶ Brute forcing over guess doesn't work any more
  - ▶ Too many choices for  $r \geq 2$
  - ▶ For being able to evaluate  $Q(a + b)$ , we need to make  $2^{O(k^r)}$  guess

## Finding restriction $P_A$

- ▶ Note with high probability  $\Delta(P_A, R_A) \leq \eta + \epsilon$
- ▶ Thus, find list  $\mathcal{L}$  of every degree  $r$  polynomial  $Q$  on  $k$  dimensions s.t.  $\Delta(Q, R_A) \leq \eta + \epsilon$
- ▶ Moreover, since  $k = O(\log \frac{m}{\epsilon})$ , we can use a global list decoding algorithm

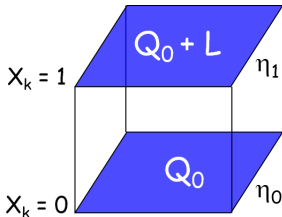
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### Challenges:

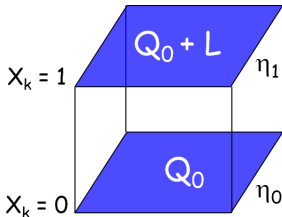
1. Design a global RM list decoding algorithm.
2. Argue  $|\mathcal{L}|$  is  $O(\epsilon^{-8r})$

## Global RM list decoding



- ▶  $\eta = \frac{1}{2}(\eta_0 + \eta_1)$
- ▶ Assume  $\eta_0 \leq \eta_1$
- ▶ Thus,  $\eta_0 \leq \eta$  and  $\eta_1 \leq 2\eta$

## Global RM list decoding



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- ▶ Thus,  $\eta_0 \leq \eta$  and  $\eta_1 \leq 2\eta$

- ▶ Note  $Q = Q_0(X_1, \dots, X_{k-1}) + X_k Q'(X_1, \dots, X_{k-1})$
- ▶ Recurse over  $Q_0$ :  $\eta_0 \leq \eta$  and degree at most  $k$
- ▶ Recurse over  $Q'$ :  $\eta_1 \leq 2\eta$  and degree at most  $k - 1$

Since we don't know if  $\eta_0 \leq \eta_1$ , try every possible  $2^k$  orders

## Reduction of $A$ 's dimension

- ▶ The original algorithm has  $k \geq O(\log \frac{m}{\epsilon})$ .
- ▶ Instead,  $k \geq O(\log \frac{1}{\epsilon})$  suffices
- ▶ First showed using clever interpolating sets, Dvir-Shpilka [Dvir and Shpilka, 2008]
- ▶ Later showed by implementing Reed's Majority Logic Decoder locally
- ▶ Hence,  $I(r, m, 2^{-r} - \epsilon) = O(I(r, k, 2^{-r}))$
- ▶ We bound  $I(r, k, 2^{-r})$  by  $O(\epsilon^{-8r})$

# Deletion lemma

## Johnson Bound

For any code  $\mathcal{C}$  with distance  $\delta n$  and any  $R \in \{0, 1\}^n$

- ▶ Number of  $C$  such that  $\Delta(R, C) < J(\delta) - \gamma$  is at most  $O(\gamma^{-2})$
- ▶ Number of  $C$  such that  $\Delta(R, C) < J(\delta)$  is at most  $2n$



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Let  $A(\alpha)$  be number of codewords of weight less than  $\alpha$

### Deletion lemma

For any linear code  $\mathcal{C}$  and  $\alpha \in [0, 1]$  and  $R \in \{0, 1\}^n$

- ▶ Number of  $C$  such that  $\Delta(R, C) < J(\alpha) - \gamma$  is at most  $A(\alpha)O(\gamma^{-2})$
- ▶ Number of  $C$  such that  $\Delta(R, C) < J(\alpha)$  is at most  $2A(\alpha)n$

- ▶ Generalization of Johnson Bound for  $\alpha = \delta$  and  $A(\delta) = 1$

# Bounding list size $|\mathcal{L}|$

$$\text{Let } \alpha = 2(2^{-r} - 2^{-2r})$$

## Corollary of Kasami-Tokura lemma

$$A(\alpha) \leq 2.2^{(4r-2)(k+1)}$$

$$\text{Recollect } l(r, m, 2^{-r} - \epsilon) = O(l(r, k, 2^{-r}))$$

$$\begin{aligned} l(r, k, 2^{-r}) &\leq 2A(\alpha)n, \text{ by Deletion lemma} \\ &= 2A(\alpha)2^k \\ &= O(\epsilon^{-8r}), \text{ using above corollary} \end{aligned}$$

# Open Problem

## Conjecture

For field  $\mathbb{F}_q$  and  $\epsilon > 0$ ,  $\exists c(q, \epsilon, r)$  independent of  $n$  s.t. for all  $m$  and  $r$

$$I_q(r, m, \delta_q(r) - \epsilon) \leq c(q, \epsilon, r)$$

- ▶ GKZ also proves for small  $q$  when  $q - 1$  divides  $r$
- ▶ Proven for quadratic polynomials  $r = 2$  [Gopalan, 2010]
- ▶ List decoding over  $\mathbb{F}_p$  for prime  $p$  shown [Bhowmick and Lovett, 2014]

## Reference I

Many of the images were adopted from David Zuckerman's presentation!



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